

PATENT (5181-57500/P4764)

LDJ - 15-02

## (5181-57500) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Filed: Invent	cation No.: September or(s): ughter et al		00 00 00 00 00 00 00	the United States Postal S class mail in an envelo	Unknown 2152 5181-57500  correspondence is being deposited with Service with sufficient postage as first type addressed to Commissioner for 20231, on the date indicated below.		
Title:		TED NG	တတတ်တတ်တ		Sey 14, 250		
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w asiiiii	gion, D.C. 20	J2J1		,	SEP 1 8 2001		
Sir:				• •	Techñôlogy Center 2100		
	Applicant requ	uests consideration of	the	e references listed or	the attached Form PTO-		
1449 an	nd/or 🔲 the a	dditional information	identi	fied below in parag	raph 3. A copy of each		
referenc	e listed on the	Form PTO-1449 is end	closed				
1.	This Informati	on Disclosure Stateme	ent is s	ubmitted:			
	a.	within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.					
	b. 🗌	final Office Action	ter the events of above paragraph 1a and prior to the mailing date of a nal Office Action or Notice of Allowance, and thus:   the restriction of paragraph 2 below is provided, or   a fee of \$180.00 is				

enclosed.

	c.	after the mailing date of a final Office Action or a Notice of and prior to payment of the issue fee, and thus: the certification paragraph 2 below is provided and a fee of \$180.00 is enclosed	fication of
2.	It is he	reby certified:	
		that each item of information contained in this Information Disclosure was cited in a communication from a foreign patent office in a c foreign application not more than three months prior to the fili Statement, or	ounterpart
		that no item of information contained in the Information Disclosure was cited in a communication from a foreign patent office in a conformation or, to the knowledge of the person signing the confider making reasonable inquiry, was known to any individual design 1.56 (c) more than three months prior to the filing of the Statement.	ounterpart ertification
3.		Consideration of the following additional information (including any or abandoned U.S. applications, prior uses and/or sales, etc.) is requested	
4.	For eac	h non-English language reference listed on the attached Form PTO-1449	):
	П	reference is made to an English language translation submitted herewith	h and/or
	Ш	Telefence is made to an English language translation submitted herewith	i, and or
		reference is made to a foreign patent office search report (in the language) submitted herewith, and/or	e English
		reference is made to an English language translation of a foreign pa search report submitted herewith, and/or	tent office
		reference is made to the concise explanation contained in the specifical present application at page(s), and/or	tion of the
		reference is made to the concise explanation set forth below:	
5.		Applicant also offers the following comments for the Examiner's consi	deration:
6.		Also enclosed is a copy of a foreign search report citing these reference	s.
7.		The listed documents were brought to the attention of the Applicate payment of the issue fee in the captioned case. The documents were communication from a foreign patent office in a counterpart foreign a not more than three months prior to the filing of this Information Statement. Applicant(s) request this Information Disclosure State attached Form PTO-1449 be placed in the file of the captioned applicate	cited in a application Disclosure ement and

8. Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-57500.

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C. P. O. Box 398 Austin, Texas 78767 (512) 476-1400

Date: <u>Sept. 14, 2001</u>

Form PTO-1449 (modified) List of Patents and Publications

For Applicant's Information Disclosure Statement (Use several sheets if necessary) SEP 1 7 2001

ATTY. DKT. NO. 5181-57500

PPLICANT: Slaughter et al.

LELING DATE: September 27, 2000

SERIAL NO. 09/672,200

**GROUP: 2152** 

U.S.PATENT DO	CUMENTS
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
. /Lc/	D1	5,778,187	7 <i>/71</i> 98	Monteiro, et al.			
/Lc/	D2	5,832,529	11/3/98	Wollrath, et al.			
, /Lċ/	D3	6,016,500	1/18/00	Waldo, et al.			
FOREIGN PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

EXAM. INITIALS:	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
/Lc/	C1	11-45187	10/10/97	ЛР -	٠		
/Lc/	C2	2 253 079	8/26/92	GB			
/Le/	C3	2 262 825	6/30/93	GB			
/Lc/	C4	2 305 087	3/26/97	GB		. •	
/Lc/	C5	300 516	1/25/89	EP			
/Lc/	C6	351 536	1/24/90	EP			
/Lc/	C7	384 339	8/29/90	EP			
/Lc/	C8	472 874	3/4/92	EP	·		
/Lc/	С9	474 340	3/11/92	EP			
/Lc/	C10	497 022	8/5/92	EP EP			
/Lc/	C11	555 997	8/18/93	EP			
/Lc/	C12	565 849	10/20/93	EP			·
/Lc/	C13	569 195	11/10/93	EP			·
/Lc/	C14	697 655	2/21/96	EP			
/Lc/	C15	660 231	6/28/95	EP			
/Lc/	C16	651 328	5/3/95	EP			
/Lc/	C17	625 750	11/23/94	EP			
/Lc/	C18	718 761	6/26/96	EP			
/L¢/	C19	767 432	4/9/97	EP			
/Lc/	C20	778 520	6/11/97	EP			
· /Lc/	C21	794 493	9/10/97	EP			

03/25/2008

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/Lechi Truong/

DATE CONSIDERED:

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For Applicant's Information

SEP 1 7 2901 Disclosure Statement

(Use several sheets if necess)

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
/Lc/	C22	803 810	10/29/97	EP				
/Lc/	C23	803 811	10/29/97	EP				
· /Lc/	C24	805 393	11/5/97	EP				
/Lc/	C25	810 524	12/3/97	EP				
/Lc/	C26	817 020	1/7/98	EP			·	
. /Lc/	C27	817 022	1/7/98	EP				
/Lc/	C28	817 025	1/7/98	EP				
/Lc/	C29	836 140	4/15/98	EP			·	
/Lc/	C30	92/07335	4/30/92	wo				
. /Lc/	C31	92/09948	6/11/92	wo				
/Lc/	C32	93/25962	12/23/93	wo				
· /Lc/	C33	94/03855	2/17/94	wo				
/Lc/	C34	96/10787	4/11/96	wo				
/Lc/	C35	96/03692	2/8/96	WO				
/Lc/	C36	96/18947	6/20/96	wo				
/Lc/	C37	96/24099	8/8/96	WO				
./Lc/	C38	98/02814	1/22/98	WO				
/Lc/	C39	98/04971	2/5/98 <sup></sup> -	WO WO		٠		
		OTHER ART (	Including Autho	or, Title, Date, Pertinent	Pages, Etc.)			
/Lc/	C40	Jaworski, "Java 1.1 Develop	per's Guide, 2 <sup>nd</sup> Ec	dition," Sams.net, 1997.				
/Lc/	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.						
/Lc/	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.						
/Lc/	C43	Lindholm, et al., "The Java ™ Virtual Machine Specification," Addison Wesley, 1996.						
/Lc/	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, http://msdn.Microsoft.com/xml/general/soapspec.asp, 34 pages.						
/Lc/	D4	Rob Guth, "Sun tries on Ja	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.					
/Lc/	D6	Microsoft, "Microsoft.NE 2000, 8 pages.	Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June					
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/Lechi Truong/

DATE CONSIDERED:

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List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessari)  AD D7 K. F. Eustice, etc. "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.  AD D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages.  AD D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.			O-1449 (modified P ATTY. DKT. NO. 5181-57500 SERIAL NO. 09/672,200			
Disclosure Statement (Use several sheets if necessary FELING DATE: September 27, 2000  Ac D7 K. F. Eustice FELING DATE: September 27, 2000  Ac D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.  Ac D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.						
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D7   K. F. Eustice, cran "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.   Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages.	I (Us	Disclos e severa	sure Statement 5th FRING DATE: September 27, 2000			
D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages.  D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.			K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999,			
D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	. /20/	.	1 p. 575-001.			
	· /Lc/	D8				
	/Lc/	D9	Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.			
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